CALL FOR PREQUALIFICATION APPLICATIONS (Amendment to the Announcement dated 14 January 2019)

- 1. The U.S. Army Corps of Engineers, Far East District (FED) invites Republic of Korea (ROK) firms who are interested in performing construction work for FED or the ROK Ministry of National Defense (MND) under its ROK Funded Construction (ROKFC) In-Kind program to submit applications for consideration to be prequalified to receive requests for proposals and bids for construction contracts. This call for applications applies to both firms currently and not currently prequalified by the FED. This prequalification action is taken under the authority of the U.S. Department of Defense Federal Acquisition Regulation Supplement (DFARS), Subpart 236.272.
- 2. Current prequalification status shall be a prerequisite for Korean firms to be considered for award in response to construction solicitations released by FED for U.S. funded construction projects; for Yongsan Relocation Plan (YRP) and Land Partnership Plan (LPP) funded construction projects; and for ROKFC, In-Kind construction projects solicited and awarded by the ROK MND Defense Installations Agency (MND-DIA).
- 3. The prequalification status of a firm pursuant to this announcement shall be good for 60 months from the date the prequalification determination is made. Additional Korean firms may be prequalified during the 24 month period; and firms may be removed from prequalification status during that period at their request or for good cause, which may include listing as suspended or debarred by the U.S. Government; bankruptcy or insolvency; or sustained poor performance on more than one project.
- 4. The FED anticipates projects similar to the following projects will be solicited and awarded to prequalified Korean firms. The list does not include all projects that may be awarded and some of the projects may not be awarded.

Anticipated FED Construction Contract Solicitations And Awards -(List is not all inclusive)

Project Name	Projected Award Date	Project Value (Between)
Kelly Gym Renovation	06/26/2019	\$5,000,000 - \$10,000,000
Repair Lodging Facility	06/26/2019	\$5,000,000 - \$10,000,00
Fire Deficiencies BSF & Pier 8	03/29/2019	\$1,000,000 - \$5,000,000
Walker Family Housing Tower #4	05/30/2019	\$25,000,000 - \$100,000,000
Repair Swimming Pool	07/15/2019	\$1,000,000 - \$5,000,000
Unmanned Aerial Vehicle Hangar	12/31/2019	\$25,000,000 - \$100,000,000
Pet Care Center	02/28/2019	\$1,000,000 - \$5,000,000
Golf Pro Shop Renovation	07/03/2019	\$500,000 - \$1,000,000
Warehouse Renovation Daegu	09/25/2019	\$1,000,000 - \$5,000,000
FY19 JOC, Areas 3 & 4	05/31/2019	\$0 - \$98,000,000
FY19 USFK MATOC IDIQ	04/10/2019	\$0 - \$500,000,000
Air Force JOC	11/1/2019	\$0 - \$90,000,000
Paving JOC	11/1/2019	\$0 - \$90,000,000
Korea Air Operations Center	unknown	unknown

5. Prequalification Criteria.

a. <u>Financial Capability</u>: The firm (hereinafter, "the Applicant") must receive a financial capability audit rating by the Defense Contract Management Agency (DCMA) of "Moderate" or "Low" Risk.

Notes:

- (1) The DCMA audit must be based on the Applicant's most recent 2 years of financial data.
- (2) A firm which is currently prequalified with the FED which receives a "High" risk rating from DCMA may be retained as a prequalified source if it demonstrates:
 - (a) Currently acceptable contract execution performance;
- (b) No significant contract execution problems for the past 4 calendar year quarters; and
- (c) Is meeting all current payment requirements to subcontractors and material suppliers. Note: The FED may require written statements from subcontractors and suppliers regarding this matter.

b. Construction Experience.

The Applicant must have completed at least one project in the past five (5) years prior to the date in which all construction work was completed in accordance with U.S. Department of Defense United Facilities Criteria (DoD UFC). A project that is ongoing and at least 50% complete may qualify as well.

Notes:

- (1) The project must have been completed not earlier than 5 years prior to the date of its submission of its application for prequalification; or, if ongoing, the Applicant must demonstrate that the project is at least 50% complete as of the date the application is submitted.
- (2) The contract must have been completed under contract with a U.S. Government requiring agency in Korea or elsewhere; or under contract with the ROK MND-DIA or one of the Korean agencies awarding YRP/LPP contracts.
- (3) Acceptable project examples may include U.S. MILCON funded construction, U.S. Sustainment Repair and Maintenance (SRM) funded construction, YRP/LPP or ROKFC In-Kind construction awarded by either the FED, the ROK MND-DIA or one of the Korean agencies awarding YRP/LPP contracts.

c. Past Performance:

The Applicant must have an acceptable history of past performance for construction contracts performed under contract with U.S. Government requiring agencies in Korea or elsewhere.

Notes:

(1) Contracts evaluated for past performance must have been completed within the past five years prior to the date of submission of the prequalification application, or are currently ongoing ("recent").

(2) To be rated "Acceptable":

- (a) The Applicant must not have received more than six (6) evaluated CPARS factors with ratings of less than "Satisfactory" across the company's CPARS on completed projects with the FED or another DoD requiring agency in Korea during the past five (5) years prior to the date of submission of the application.
- (b) Applicants with no CPARS ratings; but which have FED Quarterly Performance ratings for completed projects under YRP/LPP or ROKFC In-Kind projects must have an average rating over the past five (5) years (or as far back as recorded, if not five years) of 4.0 or higher.
- (c) Applicants with both CPARS ratings and FED Quarterly Performance ratings must meet both criteria of paragraph 5.c. Note 2, (a) and (b) above.
- (d) Applicants whose past performance records are, by no cause of their own, not available or so sparse that no meaningful past performance ratings can be reasonably assigned, will not be evaluated favorably or unfavorably. Their past performance records will be rated "Neutral," and considered "Acceptable."
- (3) Construction firms currently holding prequalification status may have their status revoked or suspended due to poor performance while performing construction work for the FED or the MND-DIA.
- d. <u>Offshore Procurement Capability</u>. The Applicant must receive an "Acceptable" rating pertaining to its understanding of the process of ordering, and its capability to timely deliver, offshore materials to FED construction projects.

Note: The "Acceptable" rating will be based on the Applicant demonstrating actual experience and timely delivery of offshore materials (as prime contractor) to a DoD construction site in Korea for a minimum of one Design-Build, Design-Bid-Build or JOC project.

- e. <u>Current registration at Construction Association of Korea (CAK) with minimum capacity indicated</u>: The Applicant must be currently listed on the CAK website. The listing must indicate the Applicant possesses at least \\ \Psi 10,000,000,000 of combined civil engineering and construction capacity.
- f. System for Award Management (SAM) registration: The Applicant must be currently registered in the U.S. Government's SAM database as of the date of its application. It must have

electronically completed all current, annual representations and certifications at the SAM website.

6. Submission requirements.

Each Applicant applying for initial or renewal prequalification must submit the following. Failure to provide the required documentation may prevent the Applicant from being evaluated for prequalification.

- a. Financial Capability. The Applicant shall submit:
 - (1) Externally prepared audit reports for its 2 most recent financial years.

Notes:

- (a) The auditor or audit firm must be independent of the Applicant.
- (b) The auditor must be registered in the ROK as doing business as an accounting/audit firm.
- (c) The reports must be signed by an authorized official of the auditor, with sufficient contact information provided for possible follow up questions by the Government.
 - (2) A 12-month Cash Flow projection.

Notes:

- (a) The projection must begin at the date of the Applicant's most recent financial statement.
- (b) The project must be prepared by a licensed accountant or accounting firm, independent from the Applicant.
 - (3) An 18-month sales forecast.

Notes:

- (a) The forecast must begin from the date of the Applicant's most recent financial statement.
- (b) The forecast must be prepared and certified as true and accurate by the Applicant's chief financial officer.
- (4) Bank (or other financial institution) line of credit statement.

Notes:

(a) More than one statement may be submitted.

- (b) The statement must be prepared specifically for the prequalification application (within one month prior to the date of submission of the prequalification application).
- (c) The statement must be printed on the bank's letterhead, be addressed to "U.S. Army Engineer District, Far East," identify the Applicant, and be signed and sealed by an appropriate bank official, authorized to make such statements. Contact information for the bank official must be included.
- (d) The statement shall include a description of the maximum credit limit available to the Applicant; all current outstanding liabilities owed to the bank; and any restrictions on the Applicant's ability to borrow.
- (5) Any additional written information that may help describe the Applicant's current financial condition.

b. Construction Experience.

- (1) The Applicant may submit documentation for up to five (5) projects in support of this requirement.
 - (2) For each project submitted, provide:
- (a) The project's award documentation, identifying the awarding agency; the award date; the value of the project at time of award; the value of the project at time of completion (or at the 50% stage); and the scope of the project work. Do not include either the project's drawings, specifications or bill of materials.
- (b) Documentation identifying the date the project was completed. If not complete, a statement from the project's owner attesting that the project is at least 50% complete as of the date of the application.
- (c) A narrative statement explaining how each project submitted meets the requirements that the project was designed and constructed in accordance with U.S. DoD UFC standards.

c. Past Performance.

The Applicant shall submit:

- (1) Past performance documentation in the form of CPARS evaluations for all projects submitted to demonstrate construction experience. Contact the FED for instructions how to obtain past performance information from CPARS.
- (2) A list of all U.S. Government awarded, YRP, LPP and/or ROKFC In-Kind construction projects completed on U.S. installations in Korea within the past five (5) years (or ongoing and at least 50% completed) for which the Applicant believes that it has received a Contractor Performance Assessment Reporting System (CPARS) evaluation or a FED Quarterly Performance rating. Do not submit past performance documentation for these

projects; the FED will check CPARS and Quarterly Performance rating data bases for these projects.

(3) Past performance documentation for construction contracts completed within the past five (5) years for the U.S. Government for which no CPARS evaluation or a FED Quarterly Performance rating is available.

Note:

The FED may obtain past performance information from other sources available to the Government, such as the Defense Contract Management Agency, and interviews with Program Managers, Contracting Officers, and Fee Determining Officials.

d. Offshore Procurement Capability.

The Applicant shall provide a statement that contains:

- (1) An explanation of its offshore procurement process.
- (2) How the Applicant conducts ordering of long lead items from the United States or other countries.
- (3) Specific examples of prior construction projects that required offshore material acquisition and what was ordered.
- (4) A list of existing suppliers in the United States from whom the Applicant has obtained offshore materials within the past five (5) years prior to the date of the Application.
- (5) Identification/description of key documents the Applicant uses to ensure that offshore materials are timely delivered to its job sites in Korea.

e. Capacity and registration on the Construction Association of Korea (CAK) website.

The Applicant shall provide a copy of the CAK website page on which it is documented that it is registered CAK. A PDF copy is acceptable. The documentation must include information about its business capacity, expressed in Korean Won. Reproduction of the Applicant's registration information at http://www.cak.or.kr may be used.

f. System for Award Management (SAM).

Prior to submitting its application, the Applicant must have registered with SAM, a U.S. Government website that allows businesses to register to do business with it. The Applicant must provide a copy of the webpage that shows it is currently registered. A PDF copy is acceptable.

To register with SAM, go to the website address of: https://www.sam.gov/SAM/. Follow the instructions for registering. A business firm that seeks to register should begin immediately to give it as much time as possible, as the registration process can be complex.

7. Submission instructions.

- a. All documents must be submitted in the English language. Copies of original Korean language documents must be accompanied by an English language translation with certification that the translation is accurate.
- b. Unless submitting drawings or a spreadsheet, such as Excel, all documents must be sized as a U.S. "Letter" (8.5" x 11") document.
- c. Application documents must be in PDF format except for spreadsheets. Submit one PDF file with all documents included and bookmarked for ease of navigation.
 - d. Applications must be submitted in the same order as indicated in paragraph 6.
- e. Submit the prequalification request under cover of a letter, signed by an official, authorized to bind the Applicant to a contract with the Government. Include contact information for all Applicant officials who may be contacted for more information or to explain aspects of the Application. The letter must refer to this Call for Applications.
- f. The application must contain all documentation required by this Call for Applications. Applications missing documents may be rejected.
- g. Submit all applications online via ARL Secure Access File Exchange (ARL SAFE) utilizing the following website: https://safe.arl.army.mil. Within 14 days of submission due date, you will receive an URL of ARL SAFE with a request code. Using the provided UFL of ARL SAFE, you will be allowed to have access to the ARL SAFE for uploading your applications. The maximum total package size is 8GB and it is the responsibility of the Contractor to ensure that the documents are "SUBMITTED" prior to the submission due date.
- *Note: New applications should notify Ms. Kim, Tok Kyong, tokkyong.kim@usace.army.mil of their e-mail address to receive an URL of ARL SAFE with a request code. Applications submitted by any other means will not be evaluated.
 - h. Restriction on disclosure and use of data.
- (1) Prospective Applicants that include in their applications data that they do not want disclosed to the public for any purpose, or used by the Government except for prequalification evaluation purposes, shall:
 - (a) Include the following statement on the cover page:

This application includes data that shall not be disclosed outside the Government and shall not be duplicated, used, or disclosed—in whole or in part—for any purpose other than to evaluate this application. If, however, the Applicant's application is approved as a result of—or in connection with—the submission of this data, the Government shall have the right to duplicate, use, or disclose the data to the extent appropriate and necessary during solicitation and award of contracts to the Applicant. This restriction does not limit the Government's right to use information contained in this data if it is obtained from another source without restriction. The data subject to this restriction are reproduced on pages [numbers or other identification of pages].

(b) Mark each page containing data the Prospective Applicant wishes to restrict with the following legend:

Use or disclosure of data contained on this page is subject to the restriction on the title page of this application.

- i. Closing deadline for applications: April 30, 2019 5:00 PM Korea Standard Time.
- j. Timely submission of applications.
- (1) Prospective applicants must submit their applications, and any modifications, or revisions, to the address designated in paragraph 7.h. by the date and time specified in paragraph 7.j. After the date and time for submission of applications has passed, prospective Applicants may only submit modifications to their applications in response to the FED's request for modified applications. Applications may be withdrawn at any time prior to the date and time for submission of applications.
- (2) Any application, modification, or revision received by the FED after the exact time specified for receipt of applications is "late" and will not be considered unless it is received before prequalification determination is made, the Contracting Officer determines that accepting the late application would not unduly delay the prequalification determination; and:
- (a) If, as required, it was transmitted through the emails above, it was received at the initial point of entry to the Government infrastructure not later than 5:00 p.m. one working day prior to the date specified for receipt of applications; or
- (b) There is acceptable evidence to establish that it was received at the FED website designated for receipt of applications and was under the Government's control prior to the time set for receipt of applications; or
 - (c) It is the only application received.
- (3) Acceptable evidence to establish the time of receipt by the FED at its website includes the electronic notice by the website that the electronic document's upload was completed by the time/date for submission of applications, or verification by Government personnel.
- (4) If an emergency or unanticipated event interrupts normal Government processes so that applications cannot be received at the address designated for receipt of applications by the exact time specified in this Call for Applications, and conditions preclude amendment of the

Call, the time specified for receipt of applications will be deemed to be extended to the same time of day specified in the Call on the first work day on which normal Government processes resume.

8. Pre-application communications.

- a. Prospective Applicants shall submit all inquiries and questions concerning this Call for Applications via Bidder Inquiry in ProjNet at www.projnet.org/projnet. The FED will not respond to an inquiry submitted in any other format or by any other means.
- b. To submit and review application inquiry items, prospective Applicants will need to be a current registered user or self-register into the system. To self-register, go to www.projnet.org/projnet and click on the BID tab. Select Bidder Inquiry, select agency USACE, enter the Bidder Inquiry Key for this solicitation listed below, your email address, and then click login. Fill in all required information and click create user. Verify that information on the next screen is correct and click continue.
- c. From this page, you may view all prospective Applicant inquiries or add a new inquiry. Prospective Applicants should select FOR OFFICIAL USE ONLY (FOUO) in comment classification when creating an inquiry.
- d. Prospective Applicants will receive an acknowledgement of their questions via email, followed by an answer to their questions after they have been processed by the FED.
- e. Prospective Applicants shall only submit one question per inquiry. The FED will only answer the first question asked in inquiries containing multiple questions.
 - f. The Bidder Inquiry Key is QWE9TA-VWHNZP.
- g. The Bidder Inquiry System will be unavailable for new inquiries 10 calendar days prior to the date and time for receipt of applications as specified in paragraph 7.g. in order to ensure adequate time is allotted to form an appropriate response to inquiries and amend the Call for Applications if necessary. Prospective Applicants are requested to review the Bidder Inquiry System for answers to questions prior to submission of a new inquiry.
- h. Technical support for problems accessing ProjNet. The ProjNet call center operates weekdays from 8AM to 5PM U.S. Central Time Zone (Chicago) or by appointment for OCONUS. The telephone number for the Call Center is 1-800-428-HELP. Email to staff@rcesupport.com.

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