# W912UM24R9998, Call for Applications for Including New or Revalidating Existing Prequalified Contractors In the 2024 Far East District Prequalification List. (Third Amendment to the Announcement dated 31 August 2024)

- 1. NOTICE: The U.S. Army Corps of Engineers, Far East District (FED) invites interested Republic of Korea (ROK) firms to submit applications for prequalification in order to receive requests for proposals and bids for construction contracts solicited and awarded under U.S. government funded projects, or projects funded by the ROK government, Ministry of National Defense, Defense Installations Agency (MND-DIA).
- 2. This action will result in establishment of a new FED list of prequalified ROK construction firms. All ROK construction contractors, whether on FED's current list, or those seeking to be placed on it, MUST submit their applications to be considered for retention or inclusion on the new list. This prequalification proceeding is being executed under the authority of the U.S. Department of Defense Federal Acquisition Regulation Supplement (DFARS), Subpart 236.272.
- 3. Inclusion on the FED prequalification list is a prerequisite for ROK firms to be considered for award in response to solicitations released by FED for U.S. funded construction projects; and for ROK funded construction projects awarded under the authority of the U.S.-ROK Status of Forces Agreement (SOFA); its subsidiary Special Measures Agreements (SMAs) and Implementing Arrangements (IAs). Construction project solicitations which prequalified ROK firms may participate in may include U.S. funded Military Construction (MILCON) projects; U.S. funded Minor MILCON and Sustainment, Repair and Maintenance (SRM) construction projects; Yongsan Relocation Plan (YRP) and Land Partnership Plan (LPP) funded construction projects; and ROK Funded Construction (ROKFC), In-Kind projects solicited and awarded by the ROK MND-DIA.
- 4. The prequalified status of a firm that is reaffirmed or determined pursuant to this announcement shall be effective for a period of up to five (5) years from the date of publication of the new prequalification list. However, a prequalified firm may be removed from prequalification status at any time during that period at its request; or for good cause, which may include though not be limited to being listed as suspended or debarred by the U.S. or Korean governments; a firm's bankruptcy or insolvency; or its sustained poor performance on multiple projects.
- 5. FED anticipates that some of the following listed projects (as well as other projects not listed below) will be solicited and awarded to prequalified Korean firms.

# Anticipated FED Construction Contract Solicitations and Awards – (List is not all inclusive)

Project Name	Projected Award	Project Value (Between)
	Year	
MILVAN/CONNEX Storage Facility	2025	₩17,250,000,000- ₩23,000,000,000
Quartermaster Laundry/Dry Cleaner Facility	2025	₩23,000,000,000-₩28,750,000,000
Electrical Switchgear Building	2025	₩5,750,000,000 - ₩15,500,000,000
Construct Type IV Hydrant Sys	2025	₩23,000,000,000 - ₩28,750,000,000

0005	14/74 750 000 000 14/00 5000 000 000
2025	₩74,750,000,000 - ₩80,5000,000,000
2025	₩5,750,000,000 - ₩15,500,000,000
2025	₩5,750,000,000 - ₩15,500,000,000
2026	₩4,600,000,000 - 5,750,000,000
2026	₩5,750,000,000 – ₩6,900,000,000
2026	₩28,750,000,000 - ₩4,025,000,000
2026	₩46,000,000,000 - ₩50,000,000,000
2026	₩28,750,000,000 - ₩4,025,000,000
2026	₩3,450,000,000 - ₩40,250,000,000
2027	₩46,000,000,000 - ₩51,750,000,000
2027	₩23,000,000,000 - ₩28,750,000,000
2027	₩4,600,000,000 - ₩5,750,000,000
	2025 2026 2026 2026 2026 2026 2026 2026

#### 6. Prequalification Criteria.

#### a. Financial Capability.

To be rated "Acceptable" for prequalification a firm (hereinafter, "the Applicant") must receive a financial capability audit rating of "Satisfactory" from the Defense Contract Management Agency (DCMA), based on its most recent two years of relevant financial data.

#### b. Relevant Construction Experience.

The Applicant must, as the prime contractor, have successfully completed at least one construction

#### project:

- (1) Valued at ₩2,000,000,000 or above:
- (2) Involving constructing the following types of facilities: training and operations facilities; command-and-control and administrative facilities; unaccompanied billeting facilities for Military personnel; Military Family Housing facilities for Family Members accompanying their sponsors; DoD elementary and high schools; Military dining facilities; commissaries; hospitals and medical/dental clinics; communications facilities; fuel storage and dispensing facilities; religious and Family facilities; MWR facilities; gymnasiums and other athletic facilities; airfield structures, runways and pavements; road and rail systems; and utility systems, including communications, power, water, gas, and sewers.
- (3) Have been substantially completed within the last five (5) years preceding the date of the prequalification. "Substantially complete" means an owner's official determined that the project's work was completed to the extent that the project could be occupied or used for its intended purposes.
- (4) Completed for a DoD activity in Korea (including but not limited to USACE, the 411<sup>th</sup> Contracting Support Brigade, or others); and
- (5) Constructed to U.S. DoD standards.
- c. Past Performance.

The Applicant must have an acceptable history of past performance, as prime contractor, on construction projects performed under contract with U.S. Government requiring agencies or under contract with the ROK MND-DIA or one of the ROK agencies awarding YRP/LPP contracts for which the U.S. Government was the beneficiary.

To be rated "Acceptable":

- (1) The Applicant must have a satisfactory or better rating for (all of) Quality, Schedule, and Management on at least one relevant construction project.
- (2) To be considered "relevant" a project must meet all of the five (5) sub-criteria under Factor 6.b. "Construction Experience" project.

Neutral: In the case of an offeror without a record of relevant past performance or for whom information on past performance is not available or so sparse that no meaningful performance rating can be reasonably assigned, the offeror may not be evaluated favorably or unfavorably on past performance (see FAR 15.305(a)(2)(iv). Therefore, the offeror shall be determined to have unknown (or "neutral") past performance. In the context of acceptability/ unacceptability, a neutral rating shall be considered "acceptable".

#### d. Offshore Procurement Capability.

To be rated "Acceptable" for prequalification, the Applicant, must demonstrate, that it has experience as a Prime Contractor in ordering offshore construction materials for DoD construction projects in Korea; and understands the processes that ensure that offshore construction materials are timely delivered to the project site during project performance.

#### e. Current registration with Construction Association of Korea (CAK).

To be rated "Acceptable":

- (1) The Applicant must be currently listed on the CAK website:
- (2) The Applicant's listing must indicate its minimum capacity; and
- (3) The listing must indicate that the Applicant possesses at least ₩10,000,000,000 of combined civil engineering and construction capacity.

#### f. Responsibility/ Ethical Business Practices.

In addition to being rated "Acceptable" under Criteria 6.a-e. above, to be included or retained in the FED prequalification list:

(1) The Applicant as a firm, or its owner, managers or other managing employees, must not be currently, nor have within the past three (3) years from the date of this announcement, been investigated, charged, convicted, or is pending trial for any violation of either the Republic of Korea Framework Act on the Construction Industry; or a criminal law of the United States of America that pertains to acquisition activities; nor currently debarred, being proposed for debarment, or suspended from engaging in acquisitions with the United States government.

(2) The Applicant must be registered with SAM.gov; and the Applicant must have no restrictions on record in SAM.gov. SAM.gov is the U.S. Government website on which entities who want to do businesses with the Government must register.

#### 7. PREQUALIFICATION SURVEY HOME OFFICE VISIT REQUIREMENT.

- a. As part of the consideration process for prequalifying Applicants who are not on the current FED prequalification list FED representatives will conduct a home office visit / survey with the Applicant to gather more information about the firm. The results of the visit / survey will be used by FED to help determine whether the Applicant has the necessary organization, experience, accounting and operational controls, and technical skills, (including, as appropriate, such elements as production control procedures, property control systems, quality assurance measures, and safety programs applicable to construction work; and the necessary production, construction, and technical equipment and facilities; or the ability to obtain them.
- b. The Applicant's chief executive officer and/or managing official must participate in the survey in order to satisfactorily complete the procedure.
- c. The home office visit / survey shall be conducted in the English language.
- d. The following topics may be discussed during the survey:
- (1) The company's capability and availability of monitoring/controlling/managing FED contracts by its HQ.
- (2) Extent of knowledge of Applicant's leadership about the FED contracting process.
- (3) Understanding of the Applicant's owners and corporate officials of the risk and benefits of contracting with FED.
- (4) Understanding of the differences between the FED contracting process and Korean industry practices.
- (5) How the Applicant's owners and corporate officials will involve themselves in the firm's quality control processes to ensure that their projects supply the required level of project quality.
- (6) Identification of the Applicant's proposed key home office and field team staff and how the staff is organized. The Applicant will be required to provide the visiting / survey team an organization chart of key home office and field staff.
- (7) The names, qualifications and experience of the Applicant's project managers and contractor quality control managers expected to work on FED's projects, or the ability to obtain them.
- (8) The extent to which the contractor has previously worked on USACE projects as a subcontractor.

#### 8. Submission requirements — All Applicants.

#### a. General.

Failure to provide the required documentation may prevent the Applicant from being evaluated for prequalification. All submitted documentation must be in the English language or accompanied by a complete English translation or it may be rejected or not considered.

#### b. Evaluation Criterion 6.a. - Financial Capability. Submit:

- 1) Externally prepared audit reports for Applicant's two (2) most recent financial years.
  - a) The auditor or audit firm must be independent of the Applicant's firm.
  - b) The auditor must be doing business as a ROK registered accounting/audit firm.
  - c) The reports must be signed by an authorized official of the auditor, with sufficient contact information provided for possible follow up questions by the Government.
  - d) The audit reports must be submitted in the English language. Copies of original Korean language documents must be accompanied by an English language translation with certification that the translation is accurate.
- 2) A 12-month Cash Flow projection.
  - a) The projection must begin at the date of the Applicant's most recent financial statement.
  - b) The projection must be prepared by a licensed accountant or accounting firm, independent from the Applicant.
- 3) An 18-month sales forecast.
  - a) The forecast must begin from the date of the Applicant's most recent financial statement.
  - b) The forecast must be prepared and certified as true and accurate, to the best of his/her belief, by the Applicant's chief financial officer.
- 4) Bank (or other financial institution) line of credit statement(s).
  - a) More than one statement may be submitted.
  - b) The statement must state on its face that it was prepared specifically for the prequalification application and be signed and dated within one month prior to the application's submission.
  - c) The statement must be printed on the bank's or other financial institution's letterhead, be addressed to "U.S. Army Engineer District, Far East," identify the Applicant, and be signed and sealed by an appropriate bank official, authorized to make such statements. Contact information for the bank official must be

included.

- d) The statement shall include a description of the maximum credit limit available to the Applicant; all current outstanding liabilities owed to the bank or other financial institution; and any restrictions on the Applicant's ability to borrow funds from the bank or other financial institution.
- e) (Not mandatory but helpful) Any additional written information that may help describe the Applicant's current financial condition, such as recent (within past 6 months) Ecredible report; credit ratings from Korea Ratings; Korea Investors Service; NICE Investors Service; or SCI Information Service (or like entities). Reports or documents from these entities that are written in the Korean language must be accompanied by a full English translation.

#### c. Evaluation Criterion 6.b. – Relevant Construction Experience. Submit:

- 1) Documentation for up to five (5) example projects that demonstrate the Applicant's experience constructing projects on behalf of the U.S. government, the U.S. Department of Defense or one of its military agencies as client. While the Applicant is not required to submit as many as five (5) projects, any projects submitted beyond the first five (5) will not be evaluated.
- 2) Complete and submit Attachment A. Construction Experience Information Sheet for each project.
- 3) For each project submitted provide:
  - a) The project's award documentation (for example, the SF 1442, DD Form 1155, etc.) that identifies the awarding agency; the award date; and the scope of the project work. Do not include the project's drawings, specifications or bill of materials.
  - b) Documentation identifying the date the project was completed. If the project value at time of completion was different than the amount at time of award, provide the value of the project at time of award.
  - c) A brief narrative statement explaining how each project submitted meets the requirement that the project was designed and constructed in accordance with U.S. DoD UFC standards.

#### d. Evaluation Criterion 6.c. – Past Performance. Submit:

- For each example project submitted against Evaluation Criterion 6.b. Relevant Construction Experience, CPAR or equivalent performance evaluations. If the Applicant does not know how to obtain a CPAR evaluation, contact FED for instructions how to obtain it.
- 2) \*For projects involve in ROKFC In-kind Program or Yongsan Relocation Plan (YRP) funded construction program, Offerors must obtain past performance information for the project from the project's owner, using the

NAVFAC/USACE Past Performance Questionnaire (Attachment B). The Offeror's client must submit the completed PPQ form, by e-mail, directly to the following Government representatives prior to the proposal closing date. The PPQ form is the ONLY submission which the Government will accept by e-mail.

Ms. Kim, Tok Kyong at: tokkyong.kim@usace.army.mil

The Offeror is solely responsible for ensuring that the client submits the PPQ form to the Government prior to the closing date of the solicitation.\*

- e. <u>Evaluation Criterion 6.d. Offshore Procurement Capability</u>. Provide a written narrative statement that:
  - 1) Describes the Applicant's offshore ordering procedures and how it follows up orders to confirm the status of the offshore materials' shipment and final delivery to the project site in order to ensure timely delivery.
  - 2) Includes an example of a recent (within the past five (5) years) order of offshore material for a U.S. DoD construction project, identifying:
    - The project title and number; and
    - The material's supplier.

#### Attach to the narrative:

- The materials' purchase request(s);
- Correspondence regarding scheduled dates of shipment and follow up status of materials in transit;
- Documentation of coordination with the Korean Custom Agency for release of the offshore materials: and
- Documents confirming the delivery of materials at the project site.
- If the materials were delivered late (behind schedule), describe the reason(s) for the delay and what the Applicant did to try to ensure timely delivery.
- 3) If the offshore material was directly procured by the Applicant, submit evidence of direct procurement as part of this submittal.
- f. Evaluation Criterion 6.e. Capacity and registration on the Construction Association of Korea (CAK) website.

The Applicant shall provide a copy of the CAK website page on which it is documented that it is registered CAK. A PDF copy is acceptable. The documentation must include information about its business capacity, expressed in Korean Won.

Reproduction of the Applicant's registration information at <a href="http://www.cak.or.kr">http://www.cak.or.kr</a> may be used. All information on the copy of the web page must be translated into English.

g. Evaluation Criterion 6.f. – Responsibility/Ethical Business Practices

The Applicant shall submit the following declaration, signed by its president or CEO with its pregualification request:

"I declare that my firm, its owner, managers or other managing employees, are not currently, nor have not within the past three (3) years from the date of this announcement, been investigated, charged, convicted or are pending trial of or for any violation of either the Republic of Korea Framework Act on the Construction Industry; or a criminal law of the United States of America that pertains to acquisition activities; or are debarred, suspended or being proposed for debarment or suspension by the governments of either the U.S. or ROK."

Note: In the event that the Applicant, its owner, managers or other managing employees, is currently, or has within the past three (3) years from the date of the prequalification announcement, been investigated, charged, convicted or are pending trial of or for any violation of either the Republic of Korea Framework Act on the Construction Industry; or a criminal law of the United States of America that pertains to acquisition activities; or has been debarred, suspended or proposed for debarment or suspension; the Applicant shall alternatively submit a detailed, written explanation of the circumstances of the matter and why it believes that it currently possesses sufficient responsibility/business ethics to be prequalified. The Government will review the submission to determine if the Applicant may still be prequalified.

Note: The FED will verify that the Applicant has no restrictions on record in SAM.gov.

- 9. On-Ramping/Revalidation of Prequalified Firms. On-Ramping/Revalidation will take place within the five year period beginning from the date of the establishment of the new FED prequalification list. On-Ramping is the process of adding new applicants to the existing prequalification list. ROK firms not currently on the list will be given the opportunity to provide their applications addressing the same evaluation criteria as identified above. A selection board will convene to evaluate the applications. For contractors on the newly established FED PQ List, the Government will revalidate their current financial and performance capabilities at the same time as the On-Ramping. For revalidation of existing Korean Sources on the prequalification list, the contractor will only be required to submit their financial documents for DCMA audit.
- 10. General submission instructions.
  - a. All documents must be submitted in the English language.
  - b. Application documents must be in PDF format except for spreadsheets. Submit one PDF file with all documents included and bookmarked for ease of navigation. All electronic pages must be equivalent to "Letter," 8 x 11.5 inch pages, if printed on paper, except for charts or schedules, which may be 11 x 17 inch pages if printed. Use Times New Roman or Arial font type sized not smaller than 11 point.
  - c. Information in applications must be arranged in the same order as indicated in paragraph 6.
  - d. Submit the prequalification application under cover of a letter, signed by the Applicant's

chief executive officer or other official who would be authorized to legally bind the Applicant to a contract with the U.S. Government. Include contact information for all Applicant officials who may be contacted for more information or to explain aspects of the Application.

- e. The application must contain all documentation required by this Call for Applications. Applications missing required documents may be rejected.
- f. Submit your application online using the Procurement Integrated Enterprise Environment (PIEE) solicitation module at: https://piee.eb.mil/xhtml/unauth/web/homepage/vendorGettingStartedHelp.xhtml#step5

Proposals submitted by any other means will be rejected.

Following are the steps to registering for PIEE-Solicitation:

- i. Go to the following website: <a href="https://piee.eb.mil">https://piee.eb.mil</a>
- ii. Click on register.
- iii. In the Roles screen, under Step 1, in the drop-down menu select SOL Solicitation.
- iv. In Step 2, select both Proposal Manager and Proposal View Only Roles.
- v. The Location Code is Your CAGE Code.
- vi. Click Next.
- vii. The PIEE PFL CAM, whom is not a FED employee, will be responsible for activation.

Using PIEE-Solicitation as a Vendor

Step-by-step instructions on how to use the PIEE-Solicitation module, to include video demonstrations on the functionality of the module are found below at the following site: <a href="https://wawf-gt.eb.mil/wbt/xhtml/wbt/sol/solicitation/proposals.xhtml">https://wawf-gt.eb.mil/wbt/xhtml/wbt/sol/solicitation/proposals.xhtml</a>

g. Restriction on disclosure and use of data.

Applicants that include in their applications data that they do not want disclosed to the public for any purpose, or used by the Government except for prequalification evaluation purposes, shall:

(1) Include the following statement on the cover page:

"Restriction on disclosure and use of data. All Applicant data submitted in response to this invitation will be kept confidential by the Government and used only for the purpose of evaluation of the Applicant's proposal."

(2) Mark each page containing data the Applicant wishes to restrict with the following legend:

"Use or disclosure of data contained on this page is subject to the restriction on the title page of this application."

h. Closing deadline for applications: 4 October 2024, 2:00 p.m., Korea Standard Time

(KST).

i. Timely submission of applications. It is the responsibility of the Applicant to ensure that its Application documents are completely uploaded to the PIEE-Solicitation website prior to the Call for Applications closing date and time.

#### 11. Pre-application communications.

- a. Applicants shall submit all inquiries and questions concerning this Call for Applications via Bidder Inquiry in ProjNet at <a href="https://www.projnet.org/projnet">www.projnet.org/projnet</a>. The FED will not respond to an inquiry submitted in any other format or by any other means.
- b. To submit and review application inquiry items, Applicants will need to be a current registered user of the system, and if not registered, must self-register into the system. To self-register, go to <a href="www.projnet.org/projnet">www.projnet.org/projnet</a> and click on the "BID" tab. Select "Bidder Inquiry", then select as the agency, "USACE", and enter the Bidder Inquiry Key for this Call for Applications, listed below, your firm's e-mail address, and then click "login". Fill in all required information and click "create user". Verify that the information on the next screen is correct and click "continue".
- c. From this page, you may view all Applicant inquiries or add a new inquiry. Applicants should select CUI in the comment classification window when creating an inquiry.
- d. Applicants will receive an acknowledgement of their questions via e-mail, followed by an answer to their questions after they have been processed by the FED.
- e. Applicants shall only submit one question per inquiry. The FED will only answer the first question asked in inquiries containing multiple questions.
- f. The Bidder Inquiry Key is **XCUJWA-J55Q8F**.
- g. The Bidder Inquiry System will be unavailable for new inquiries 10 calendar days prior to the date and time for receipt of applications as specified in paragraph 7.i. in order to ensure adequate time is allotted to form an appropriate response to inquiries and amend the Call for Applications if necessary. Please review the Bidder Inquiry System for answers to existing questions prior to submission of a new inquiry.
- h. Technical support for problems accessing ProjNet. The ProjNet call center operates weekdays from 8:00 a.m. to 5:00 p.m., U.S. Central Time Zone (Chicago) or by appointment for OCONUS. The telephone number for the Call Center is 1-800-428-HELP. E-mail to staff@rcesupport.com.

## Attachment A. Construction Project Information Sheet

Na	me of Offeror on this Solicitation:
	evide information for relevant projects to be used for CONSTRUCTION PERFORMANCE evaluation reposes. For each project, provide the following information (use additional sheets as necessary):
a.	Type of project (check on): Design-Bid-Build Design-Build
b.	Contract Number, Task Order Number, and Project Title:
c.	Location/Site (where the project was constructed):
d.	Role of Offeror (address type of work performed, including design, percentage of work performed by the offeror, as applicable, and any proposed team members that were directly involved in this project as a prime contractor, subcontractor, or a Joint Venture (JV) (indicate all JV members and copy of Joint Venture Agreement, including work performed), roles and responsibilities.
e.	Total Contract Value: ₩
f.	General Scope of Project. (Address how this project is same as or similar to the work called for in the Solicitation).

S	Period of Performance: Start Date:	
]	Date Physically Completed:	<del></del> 
		y (if client is the U.S. Government, provide name and er, and name and telephone number of Contracting Office
(	(1) Name	Fax No
	Telephone No	Fax No
	T:	
	E-mail:Address:	
(	Address:	
(	Address:  [2] Name Telephone No.	Fax No
(	Address:  [2] Name  Telephone No.  E-mail:	Fax No
(	Address:  [2] Name Telephone No.	Fax No

#### NAVFAC / USACE Past Performance Questionnaire (PPQ)

Form PPQ -0 (9/30/11)

NAVFAC/USACE PAST PERFORMANCE QUESTIONNAIRE (Form PPQ-0)					
CONTRACT INFORMATION (Contractor to complete Blocks 1-4)					
1. Contractor Information  Firm Name: DUNs Number: Phone Number:					
Email Address: Point of Contact: Contact Phone Number:					
2. Work Performed as: Prime Contractor Sub Contractor Joint Venture Other (Explain)  Percent of project work performed:  If subcontractor, who was the prime (Name/Phone #):					
3. Contract Information  Contract Number:  Delivery/Task Order Number (if applicable):  Contract Type:					
Final Contract Price (Award Amount):  Final Contract Price (to include all modifications, if applicable):  Explain Differences:					
4. Project Description:  Complexity of Work					
CLIENT INFORMATION (Client to complete Blocks 5-8)					
5. Client Information  Name: Title: Phone Number: Email Address:  6. Describe the client's role in the project:					
7. Date Questionnaire was completed (mm/dd/yy):  8. Client's Signature:					

NOTE: NAVFAC/USACE requests that the client completes this questionnaire and submits directly back to the offeror. The offeror will submit the completed questionnaire to USACE with their proposal, and may duplicate this questionnaire for future submission on USACE solicitations. Clients are highly encouraged to submit questionnaires directly to the offeror. However, questionnaires may be submitted directly to USACE. Please contact the offeror for USACE POC information. The Government reserves the right to verify any and all information on this form.

### NAVFAC / USACE Past Performance Questionnaire (PPQ)

Form PPQ -0 (9/30/11)

# ADJECTIVE RATINGS AND DEFINITIONS TO BE USED TO BEST REFLECT YOUR EVALUATION OF THE CONTRACTOR'S PERFORMANCE

RATING	DEFINITION	NOTE
(E) Exceptional	Performance meets contractual requirements and exceeds many to the Government/Owner's benefit. The contractual performance of the element or sub-element being assessed was accomplished with few minor problems for which corrective actions taken by the contractor was highly effective.	An Exceptional rating is appropriate when the Contractor successfully performed multiple significant events that were of benefit to the Government/Owner. A singular benefit, however, could be of such magnitude that it alone constitutes an Exceptional rating. Also, there should have been NO significant weaknesses identified.
(VG) Very Good	Performance meets contractual requirements and exceeds some to the Government's/Owner's benefit. The contractual performance of the element or sub-element being assessed was accomplished with some minor problems for which corrective actions taken by the contractor were effective.	A Very Good rating is appropriate when the Contractor successfully performed a significant event that was a benefit to the Government/Owner. There should have been no significant weaknesses identified.
(S) Satisfactory	Performance meets minimum contractual requirements. The contractual performance of the element or sub-element contains some minor problems for which corrective actions taken by the contractor appear or were satisfactory.	A Satisfactory rating is appropriate when there were only minor problems, or major problems that the contractor recovered from without impact to the contract. There should have been NO significant weaknesses identified. Per DOD policy, a fundamental principle of assigning ratings is that contractors will not be assessed a rating lower than Satisfactory solely for not performing beyond the requirements of the contract.
(M) Marginal	Performance does not meet some contractual requirements. The contractual performance of the element or sub-element being assessed reflects a serious problem for which the contractor has not yet identified corrective actions. The contractor's proposed actions appear only marginally effective or were not fully implemented.	A Marginal is appropriate when a significant event occurred that the contractor had trouble overcoming which impacted the Government/Owner.
(U) Unsatisfactory	Performance does not meet most contractual requirements and recovery is not likely in a timely manner. The contractual performance of the element or sub-element contains serious problem(s) for which the contractor's corrective actions appear or were ineffective.	An Unsatisfactory rating is appropriate when multiple significant events occurred that the contractor had trouble overcoming and which impacted the Government/Owner. A singular problem, however, could be of such serious magnitude that it alone constitutes an unsatisfactory rating.
(N) Not Applicable	No information or did not apply to your contract	Rating will be neither positive nor negative.

Form PPQ -0 (9/30/11)

# TO BE COMPLETED BY CLIENT

PLEASE SELECT THE ADJECTIVE RATING WHICH BEST REFLECTS YOUR EVALUATION OF THE CONTRACTOR'S PERFORMANCE.						
1. QUALITY						
a) Quality of technical data/report preparation efforts	E	VG	S	М	U	N
b) Ability to meet quality standards specified for technical performance	Е	VG	S	М	U	N
c) Timeliness/effectiveness of contract problem resolution without extensive customer guidance	E	VG	S	М	U	N
d) Adequacy/effectiveness of quality control program and adherence to contract quality assurance requirements (without adverse effect on performance)	ct quality assurance requirements (without adverse effect on E VG S N		М	U	N	
2. SCHEDULE/TIMELINESS OF PERFORMANCE						
a) Compliance with contract delivery/completion schedules including any significant intermediate milestones. (If liquidated damages were assessed or the schedule was not met, please address below)	Е	VG	S	M	U	N
b) Rate the contractor's use of available resources to accomplish tasks identified in the contract	E	VG	S	М	U	N
3. CUSTOMER SATISFACTION						
a) To what extent were the end users satisfied with the project?	Е	VG	S	М	U	N
b) Contractor was reasonable and cooperative in dealing with your staff (including the ability to successfully resolve disagreements/disputes; responsiveness to administrative reports, businesslike and communication)	ability to successfully resolve disagreements/disputes; E VG S M U		U	N		
c) To what extent was the contractor cooperative, businesslike, and concerned with the interests of the customer?	E	VG	S	М	U	N
d) Overall customer satisfaction	Е	VG	S	М	U	N
4. MANAGEMENT/ PERSONNEL/LABOR						
a) Effectiveness of on-site management, including management of subcontractors, suppliers, materials, and/or labor force?	F	VG	S	М	U	N
b) Ability to hire, apply, and retain a qualified workforce to this effort	ı	VG	S	М	U	N
c) Government Property Control	ı	. VG	S	М	U	N
d) Knowledge/expertise demonstrated by contractor personnel	ı	VG.	S	М	U	N
e) Utilization of Small Business concerns	ı	E VG	S	М	U	N
f) Ability to simultaneously manage multiple projects with multiple disciplines	ı	E VG	S	М	U	N
g) Ability to assimilate and incorporate changes in requirements and/or priority, including planning, execution and response to Government changes	i	E VG	S	М	U	N
h) Effectiveness of overall management (including ability to effectively lead, manage and control the program)	· · · · · · · · · · · · · · · · · · ·		S	М	U	N
5. COST/FINANCIAL MANAGEMENT						
a) Ability to meet the terms and conditions within the contractually agreed price(s)?	[	E VG	S	М	U	N

### NAVFAC / USACE Past Performance Questionnaire (PPQ)

Form PPQ -0 (9/30/11)

				1 011111	1 4 0	(9/30/11)
b) Contractor proposed innovative alternative methods/processes that reduced cost, improved maintainability or other factors that benefited the client	E	VG	S	М	U	N
c) If this is/was a Government cost type contract, please rate the Contractor's timeliness and accuracy in submitting monthly invoices with appropriate back-up documentation, monthly status reports/budget variance reports, compliance with established budgets and avoidance of significant and/or unexplained variances (under runs or overruns)	E	VG	S	М	U	N
d) Is the Contractor's accounting system adequate for management and tracking of costs? <i>If no, please explain in Remarks section.</i>		Yes			No	
e) If this is/was a Government contract, has/was this contract been partially or completely terminated for default or convenience or are there any pending terminations? <i>Indicate if show cause or cure notices were issued, or any default action in comment section below.</i>		Yes			No	
f) Have there been any indications that the contractor has had any financial problems? <i>If yes, please explain below.</i>		Yes			No	
6. SAFETY/SECURITY						
a) To what extent was the contractor able to maintain an environment of safety, adhere to its approved safety plan, and respond to safety issues? (Includes: following the users rules, regulations, and requirements regarding housekeeping, safety, correction of noted deficiencies, etc.)	E	VG	S	М	U	N
b) Contractor complied with all security requirements for the project and personnel security requirements.	E	VG	S	М	U	N
7. GENERAL						
a) Ability to successfully respond to emergency and/or surge situations (including notifying COR, PM or Contracting Officer in a timely manner regarding urgent contractual issues).	E	VG	S	M	U	N
b) Compliance with contractual terms/provisions (explain if specific issues)	E	VG	S	М	U	N
c) Would you hire or work with this firm again? (If no, please explain below)		Yes			No	
d) In summary, provide an overall rating for the work performed by this contractor.	Е	VG	S	М	U	N

Please provide responses to the questions above (if applicable) and/or additional remarks. Furthermore, please provide a brief narrative addressing specific strengths, weaknesses, deficiencies, or other commen which may assist our office in evaluating performance risk (please attach additional pages if necessary):			